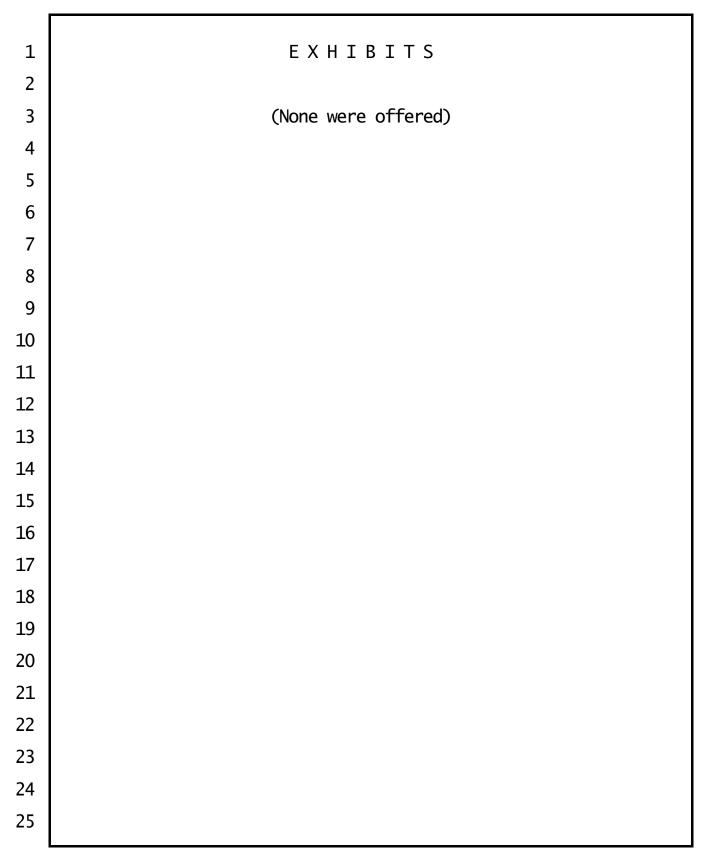
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                      IN THE UNITED STATES DISTRICT COURT
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                       FOR THE EASTERN DISTRICT OF TEXAS
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                                 MARSHALL DIVISION
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      VIKING TECHNOLOGIES, LLC
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      VS.
                                             Case No. 2:20-cv-00357-JRG
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                                             (Lead Case)
      ASSURANT, INC., et al
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      ASURION, LLC, et al
                                             Case No. 2:20-cv-00358-JRG
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      CLOVER TECHNOLOGIES GROUP, LLC, et al
                                             Case no. 2:20-cv-00359-JRG
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                    MARKMAN HEARING AND STATUS CONFERENCE
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                    BEFORE THE HONORABLE RODNEY GILSTRAP
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                          UNITED STATES DISTRICT JUDGE
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                                   JUNE 15, 2021
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PROCEEDINGS

THE COURT: All right. This is the time set for claim construction. The Court is taking up claim construction concurrently in three consolidated cases. These include case number 2:20CV357 styled Viking Technologies vs. Assurant, Valu Tech, Teleplan and Broadtech, CWork Solutions, Signal, L.P., Signal GP, and MMI-CPR, LLC.

It also includes case number 2:20CV358 which is Viking Technologies vs. Asurion, LLC, and case number 2:20CV359 styled Viking Technologies vs. Clover Technologies, Clover Wireless, Teleplan Holdings, Teleplan Service, and Reconext LLC.

Let me call for announcements on the record. Let me hear first from the plaintiff, Viking Technologies.

MR. EVERINGHAM: Good morning, Your Honor. May it please the Court, Chad Everingham for Viking
Technologies. I'm joined today by my co-counsel with the King & Wood Mallesons firm, John Petrsoric and Michael DeVincenzo. Running the slides for us today, Mr. Dominic Vitatiano is here. And we're also joined by our client representative, Mr. Kevin Barnett, who's in the gallery, and we're ready to proceed.

THE COURT: All right. Thank you.

Let me hear from the defendants. We will start

with the defendants in the 357 case. 1 2 MR. DEANE: Good morning, Your Honor. Michael Deane here, along with my colleague Matt Howell from 3 Alston & Bird representing the Broadtech entities, and 4 the entities in the 357 case. 5 6 THE COURT: All right. Other announcements in the 7 357 case? 8 MR. DEANE: Your Honor, we represent all of the 9 defendants in the 357 case. THE COURT: All right. Then let me hear 10 11 announcements from the defendants in the 358 case. MS. SMITH: Good morning, Your Honor. Melissa 12 Smith in the 358 case on behalf of Asurion. I am joined 13 by Mr. Michael Valaik. Mr. Valaik will be addressing the 14 15 Court this morning -- Mr. Valaik, if can you stand -- and 16 his partner, Ms. Katy Rhoades. And we're ready to 17 proceed, Your Honor. 18 THE COURT: All right. And how about defendant's 19 announcements in the 359 case. 20 MR. PINKER: Good morning, Your Honor. Eric 21 Pinker on behalf of the defendants -- all of the 22 defendants in the 359 case. I'm here with Patrick 23 Disbennett, my colleague. I'm also here with my client representative, Mr. Rich Fischer, who is sitting in the 24 25 gallery.

THE COURT: All right. And Mr. Pinker, just for 1 my own clarification, does your firm also represent Valu 2 3 Tech in the 357 case? MR. PINKER: We represent Valu Tech which is a 4 party in the 359 case, Your Honor. I'm not appreciating 5 6 that there is a Valu Tech entity named in the 357 case. 7 THE COURT: All right. That could be some error on my part. I just want to make sure everybody is 8 9 covered. 10 MR. PINKER: Yes, sir. I represent all of the 11 defendants in the 359 case. And there has been an 12 amendment to the complaint which substituted, and added, and also removed a party. There are six named defendants 13 14 in the 359 case. 15 THE COURT: All right. Thank you. 16 MR. PINKER: Thank you, Your Honor. THE COURT: All right. Counsel, let's jump into 17 18 the disputed claim language for purposes of today's Markman hearing. Let's begin with biasing the cutting 19 20 device, and biasing the cutting wire from the 953 and the 21 357 Patents. 22 Let me hear from plaintiffs first. 23 MR. DEVINCENZO: Good morning, Your Honor. Mike DeVincenzo on behalf of Viking. 24 25 THE COURT: Good morning.

MR. DEVINCENZO: The first term is biasing or biasing the cutting device/wire. The dispute with respect to this term is relatively narrow. The parties agree that biasing a cutting device or a wire means applying a force to it. The defendants seek to add an additional requirement that's not in the claims, that when the force is applied it must hold the wire or cutting device in a given position. The defendants additional language is inconsistent with the claims itself and the specification and it's not supported by the prosecution history.

With respect to the claims -- and since this is the first term, I'm going to put up Claim 1 and go through it a little bit because the claim in this case is pretty clear and readily understandable, especially with reference to Figure 7. The claim's about a method of removing a protective glass top surface from a display unit. There are three layers in the display unit. It has a glass top, it has -- that's the top layer in the figure. It has an electronic display portion, that's the bottom layer, 22. And then there between is an intermediate layer. And in Figure 7 that's depicted as 24.

So what the claim says is, in order to remove that glass top surface, the first thing you do is you fix the

device in a carriage. The second thing you do is you align a cutting device in a coplanar relationship with the intermediate layer. Then you bias the cutting device in the intermediate layer adjacent to the electronic display portion and away from the glass.

And then later -- and this is the last element -- so now you have the device in the carriage, you have the wire aligned with that inner layer, and then you drive the wire or the cutting device into that middle layer.

THE COURT: I understand, Counsel, visually to me is like the cheese board with the wire cutting the pieces of cheese.

MR. DEVINCENZO: Yes, to some extent.

And Claim 8, dependent claim, it expressly requires advancing during the biasing -- so in your example you would be moving the cheese relative to the wire or the wire relative to the cheese as you're biasing.

Now Figure 7, the Z is not actually in the figure but the defendants have that in their brief and it's in the prosecution history. And the reason Z is important is because that's the way you're biasing. You're pushing down. And the reason you're pushing down is not to hold the wire in place, it's so glass shards don't get caught in the intermediate layer. And that's explained in the

specification.

So based on the claim itself, especially Claim 8, we know that biasing does not require holding the wire in a given position. It's just directly inconsistent with the claim language. The defendants admit as much. They agreed — and this is from page 12 of their brief — that the asserted claims require the cutting device to move, yet they never grapple with the fact that if the cutting device is required to move during biasing, which is what they admit, how can the construction of biasing require to hold the cutting device in a given position. It's clearly changing positions.

Now the claims are not surprisingly consistent with the specification. Specification teaches movement during biasing. In their responsive brief -- and on the slide I have 632 through 36 but there are several examples in the parties' briefing. Most important, the defendants themselves, they admit this. They admit there's movement during biasing according to the specification. They explain the specification describes applying force to the cutting device which holds the cutting device adjacent to the electronic display as it moves.

And now earlier I talked about the purpose of biasing. And defendants have said, well, the purpose --

and this is in their briefing but it's not reflected in their construction — is to hold the constant in the Z position. So although you would be applying a force down which would suggest that it could move downwards in the Z position, in the briefing the defendants said well, movement is allowed and they conceded that. But they said, but no movement is possible in the Z position and they argued that's the purpose of biasing, to keep it steady. We think that's inconsistent with applying a force downward. By applying a force downward, generally something would move down. That's why you're applying a force.

But more importantly it's inconsistent with the specification. The specification teaches the purpose of biasing is not to hold it in a constant Z position, it's to keep it as far away from the glass layer as possible, to prevent encountering snagging of the glass layer. So as you hold it down it can go around shards of glass. So if you're pushing it in the middle of the intermediate layer and there's shards of glass, you apply a force downward as you're moving forward and it will go around that. And on the slide is Column 6, lines 10 through 22. But this purpose of biasing is also discussed in Column 3, lines 50 through 55. And there it says, also disclosed is a method further including the step of

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biasing the wire away from the glass to prevent encountering snagging of the glass layer -- oh, I'm sorry, to minimize encounters with broken glass. So that's the point of biasing. It's not to hold it in a given position.

Now defendants argue otherwise based on one portion of the specification and they rely on Column 3, 55 to 60. And there a particular embodiment of biasing is disclosed. And it says a method wherein the biasing steps includes locating wire guide posts in a plane below that of the electronic display portion so that the wire is biased against that portion as it enters and exits the intermediate layer. And in their briefing defendants argued, well, this means there can be no movement in the Z direction because as the wire enters and exits the intermediate layer it's always going to be against a specific plane of the electronic display portion. Well, that's only in the embodiment with wire guide posts. That's what support here, and wire guide posts would keep the wire in one position with respect to the Z direction. But the claims at issue don't require wire guide posts, and biasing itself without wire guide posts is nowhere described in the specification as requiring no movement in the Z direction.

Turning to the prosecution history. The

prosecution history never distinguished prior art based on the use of biasing such that no movement could be required or no movement in the Z direction would be required. Instead, as stated on page 16 of Applicant's appeal brief from the Examiner's rejection, they said — and this is with respect to one of the prior art reference — there's no disclosure of biasing as required by the claims, namely, away from the glass. It was the direction of biasing that was distinguished, not whether anything had to be held in place.

And in that brief, on this page on the slide, Tajima's references discuss — when each of the references discuss the appeal brief, it's always distinguished for the same reason, the direction of biasing. It's not about holding a wire in a given position.

So where does the defendant's construction actually come from. Well, there were dictionary definitions discussed in the appeal. And the Applicants told the Examiner, those of ordinary skill in the art would readily understand the term biasing requires the application of some force in this mechanical engineering art, and then there's a colon there. And this is on page 16 of the Applicant's brief. And there are four dictionaries listed. I think in our brief we

inadvertently said five, but there's four. And I'll go through them on the next slide.

And then later after identifying all four dictionary definitions, the Applicants explain, "thus, those of ordinary skill in the arts would fully understand that the term bias and its related words includes the application of some force." And the reason that was in issue is explained in the very next sentence. The Office action, which was being appealed, had stated that a biasing force which is not currently claimed. So in the Office action rejection, the Examiner had reflected this belief that biasing does not require application of force. So in the appeal brief they said yes, it requires an application of force, and it requires a particular application of force away from the glass because that's how you get around those shards of glass as you're trying to pop the glass cover.

THE COURT: Is it a constant level of biasing force or does the level of biasing force vary through the removal process?

MR. DEVINCENZO: It doesn't -- it's -- you have to bias at least once. You don't have to bias the whole time. So as you're sliding through, you encounter a piece of glass, you can bias around it. But you don't have to bias the whole time. That's not required by the

claims and that's not required by defendant's construction either.

THE COURT: All right. What else?

MR. DEVINCENZO: And then on the Board's decision, I have the appeal reply brief. They said, Patent Examiner's use of the term force as a possible synonym is not inconsistent with the use of the word in this application. They said, as a possible synonym is not inconsistent with the use of the word in this application, but that's Viking's construction. That has nothing to do with holding it in place, that's saying a force is a synonym for bias. And that's how the Board understood it. In the Board's decision, the Applicant agrees that the term force is a possible synonym for bias is not inconsistent with the use of the word in this application.

And then later, "given the general agreement between the Examiner and the Appellant that bias refers to force, the Examiner's stated definition does not appear to be a source of material dispute." So they are saying biasing means force.

And now I'm going to turn to the dictionaries.

And before I said there were four dictionaries. And when the Applicants were explaining to the PTAB that biasing means application of force, they referred to all four

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dictionaries. And all four dictionaries indicate that biasing would refer to a force. You have Dictionary of Engineering, "the force applied." The Free Dictionary, "to influence in a particular direction." The Oxford Dictionary, "show inclination against something." The Merriam-Webster Dictionary, "apply a slight negative or positive voltage." And a voltage is a force. So the Examiner said -- I mean the Applicant said all of these dictionaries are consistent with our construction.

So what do the defendants do? They take the first dictionary and they say if we cut it off there and pretend that's the only dictionary and we say a force applied to a relay to hold it in a given position, and that's what they use. And then in their briefing they put in extrinsic evidence and they say the McGraw-Hill dictionary similarly says bias in the electrical arts could refer to the force applied to a relay to hold it in a given position. And we all agree that electrical arts if you're applying it to a relay -- which is not what the invention is about -- it could be to hold it in a given position. But we know that's not the definition here. We're not talking about relays. We're not talking about the electrical arts. We're talking about the mechanical arts. And we're talking about moving the wire as you're pushing it through the intermediate layer. These

dictionaries are simply inapplicable and they can't be used to contradict the intrinsic evidence.

One more. And then lastly, the defendants rely on two extrinsic patent applications and they say, well, these define bias as requiring no movement in a particular direction. Neither of these applications actually define the word biasing at all. They simply use it in a manner — let me slow down. With respect to the first one, neither of them define what it means to bias. Instead they use the term bias, and they say the biasing force in that application will retain objects, will not allow movement in a particular direction.

Well, in those applications that's what's explained. That's not the definition used here. And that can't be used to contradict the intrinsic evidence. If the force is being applied down as a matter of logic and reason, the wire may move down. That's how the force works. If you're applying a force downward it may move down. And there's nothing in the intrinsic evidence that says otherwise.

THE COURT: All right. What else?

MR. DEVINCENZO: That's all.

THE COURT: Let me hear from the defendants in response.

MR. VALAIK: Your Honor, Mike Valaik.

Biasing here was really the crux of the purported invention. We see that throughout the prosecution history, and more importantly in the claim language. When we actually look at Claim 1 — and I'll show it here in a minute of the 537 Patent, it's clear that biasing the cutting device or wire is really at the heart of this because the rest of it as plaintiff's counsel detailed, you align the cutting device, you drive a cutting device, you advance a cutting device. That really didn't go to the heart of this invention. Indeed, that cutting with a wire was around in both industrial applications and other settings as Your Honor alluded to, such as a cheese wire.

Before showing why we believe, Your Honor, to hold it -- the cutting device wire -- in a given position is the appropriate construction, I just want to make two preliminary points. First, it is not our position that during biasing the cutting wire is stationary. We said as such in our briefing, and Viking maintains that to hold it in a given position means the wire is not moving. And it's interesting, we'll walk through the prosecution history and we'll see that defendant's construction here is; one, what plaintiff offered and really what held throughout prosecution. And no one got confused that

when you use the construction, applying a force to the cutting device/wire in a given position, means biasing stationary wire can't move. And so here Viking is just simply mischaracterizing our position.

And the second preliminary point before I walk through the claim specification prosecution history is simply if we apply plaintiff's construction, simply applying the force, we believe you're leaving out the biasing limitation. Because to move a wire you apply a force, to drive the wire, to cut the wire you have to be applying a force. And so leaving that alone, we're going to take the biasing limitation and in some sense we're conflating it with the rest of the claim.

So why is defendant's construction to add "hold it in a given position" correct. First, looking at Claim 1 we see the entire limitation, biasing the cutting device in the intermediate layer adjacent the electronic display portion and away from the glass. And that's important because immediately we see how biasing is used in the asserted claims, it's positional. You are biasing the device, applying that force so you can stay adjacent the electronic display so you can maintain that position away from the glass.

When we turn to the specification, similarly -- and this is in the 537 Patent, Column 6, lines 10 through

21. We see here first the preferred method -- it's important, I just want to stop for a minute. This is the preferred method of separating the electronic display, not the preferred method of biasing. Because the patent in the specification discloses a number of different methods for separating the electronic display. But for purposes of why to hold it in a given position is the construction here, Your Honor, we see it talks about biasing the wire blade, maintaining the cutting element in a coplanar relationship, and so again positional. You are applying this force so you can hold it in a given position. It goes on to say keeping it taut and aligning it carefully to maintain the coplanar relationship.

And then finally as we've discussed, this is to keep it as far away from the glass as possible to prevent encountering or snagging of the glass layer.

THE COURT: Let me ask you this, Counsel. If requiring a force means movement how does that not contradict with your hold it in a position?

MR. VALAIK: If we take hold it in a given position as being stationary, it does contradict it because application of a force doesn't necessarily mean you have to move. You can apply a force and that — it's not enough force to where that object is physically moving. But if we take it the next step, that

application of a force is going to move that wire then if "hold it in a given position" means stationary, then that is contradictory. That is confusing. We believe when you read "hold it in a given position" in the context of Claim 1, as well as the specification, it's quite clear that during the biasing step that you're moving. We agree with plaintiffs on that point and we don't mean to suggest in our construction with hold it in a given position it's going nowhere.

THE COURT: Does your view of hold it in a given position preclude the ability of the wire to move in what's called the Z direction during its travel through the intermediate layer?

MR. VALAIK: No, Your Honor.

THE COURT: So you can't drop down to dodge the shard glass.

MR. VALAIK: You can. I was going to cover this, but I'll cover it now in light of your question, sir.

We said on page nine of our brief, the parties further agree -- this is our second sentence in the biasing discussion. "The parties further agree the claim biasing step requires that the forces apply to the cutting device in the direction along the Z axis as shown in annotated version of Figure 7 above, that Applicant submitted to the Board during prosecution."

So we agreed there with plaintiff as well that biasing requires this application of force in the Z axis direction. And as counsel said, that could create movement in the Z axis direction. That's fine with us and it's just another example where we -- they are mischaracterizing our position. We did say there would be no movement.

THE COURT: Well, if there can be movement and if there can be movement in the Z position or Z direction, where is the merit of the value of adding, to hold it in a given position? Why is that necessary? Why is it informative? Why does it help the jury instead of confuse the jury?

MR. VALAIK: Because what we'll see both in the claim language, the specification, and walking through the prosecution history is that the whole purpose of biasing here is positional. The whole purpose, the inventive concept that they say they claim is that if you encounter a glass shard, what you need to do in this application of force as you're maintaining it adjacent to the electronic display or otherwise, you're going to move that. You're going to apply a force now in the Z axis. You're going to go just down and around, simply let's go around an obstacle. And so what helps the jury here is to understand that with respect to biasing, not simply

driving, cutting, application of force, that this is the critical step that they claim is inventive. And what it's doing is maintaining or keeping that wire in a certain position. And if — if it connotes to the average person that means stationary, we can offer an alternative construction that clears that up. But we believe it's critical here, it's not simply pulling a wire and applying a force. That standing alone is, you know, the same thing as driving, or cutting, or any of those things. Biasing has to mean more, and it has to be applying that force to the wire for that positional purpose.

THE COURT: Define what you mean by "positional purpose"?

MR. VALAIK: Well, I'll go back right here.

Positional purpose, Your Honor, looking at the spec here, would be you bias the wire or blade against, adjacent, or close to the plane adjacent the electronic display.

Defense says, maintaining cutting element in a coplanar relationship. And we're going to talk about coplanar relationship today.

THE COURT: I assume we will.

MR. VALAIK: And so you want to keep that position. And then keeping it taut, aligning it carefully to maintain that coplanar relationship. So

we're not simply applying a force, we're doing more. We're applying a force so that we hold that wire in a given position or maintaining a certain position.

THE COURT: Let me ask you this. Is the biasing direction called out and specified in the claim language? And if so, how and where?

MR. VALAIK: The specific direction is not called out, Your Honor.

THE COURT: Okay. All right. Let me hear the rest of your argument.

MR. VALAIK: Yes, sir.

I've turned now to the prosecution history. And plaintiff's counsel showed the definitions but I think it's important to stop at the first definition and show when they included the definition of bias here, the force applied to a relay to hold it in a given position. One is what plaintiffs offered. And I think two, it's important to mention they said right here, those of ordinary skill in the art readily understand that the term biasing requires the application of some force. And here, what is that art? It's the mechanical engineering art. And the one definition that plaintiffs offered from a mechanical engineering source is indeed what we offer as our construction here today, which includes, to hold it in a given position.

THE COURT: Let me interrupt. Let me go back to my prior question. When you said that the biasing direction is not called out in the claim, tell me how that argument squares with the language in Claim 1, biasing the cutting device in the intermediate layer adjacent to the electronic display portion and away from the glass. Doesn't "away from the glass" denote a direction?

MR. VALAIK: Away from -- if you're going to be biasing the cutting device in the intermediate layer and you're adjacent the electronic display portion, we believe biasing there is simply, you're adjacent that electronic display. Away from the glass is consistent with the rest of the specification and the claim, you're just simply as far away from the glass as you can be. It is -- it does not say explicitly that biasing force is in the Z axis direction.

And one important point here in the specification also includes you can — this can be in both directions, too. And so, you know, once it's adjacent the electronic display, simply saying "away from the glass" there is you're as far away from that glass as you can possibly be. We don't believe that explicitly says in the Z axis direction. And Figure 7 here is quite important.

Because in Figure 7 of the patent, we just have our X and

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Y direction. And what they included in prosecution of Figure 7, they included in that Z axis direction to make clear to the Examiner who also didn't understand biasing in the context of these claims, that in fact we're talking about application of a force in a Z axis direction. That wasn't clear based on the claim language alone to the Examiner.

THE COURT: All right. Let's continue.

MR. VALAIK: Yes, Your Honor.

And so I have on the top of the screen here on our Slide 6, this was the definition for biasing in the mechanical engineering art that plaintiff offered. And it's important -- I mean this is what the Examiner adopted for purposes of the prosecution. He then applies, you'll see at the bottom, the very definition that plaintiffs offer here in the mechanical engineering art. With respect to these other definitions that plaintiff's counsel discussed, he said looking at these three others, they are simply our every day understanding of biasing to influence in a particular, typically unfair direction. Also, you know, pause to feel or show inclination of prejudice. And similarly with respect to the Miriam Webster, to give a settled and often prejudiced outlook. I mean those are every day understandings of biasing. And plaintiff is correct,

they did advocate the application of force in the prosecution but they also had, to hold it in a given position, and that's what the Examiner adopted.

And the last slide I have here, Your Honor, is the actual decision on appeal. It's clear the Examiner also states that the definition in the online Dictionary of Engineering is the force applied to a relay to hold it in a given position. And Viking didn't object to the application of that definition in prosecution either.

And so just to conclude, Your Honor, I put Claim 1 back on the screen. We believe first when you read the biasing limitation in context of the entire claim it's clear that you're moving when biasing, and we agree with plaintiffs in that respect. But then secondly and more importantly, biasing is positional. It is the application of force for a reason, to hold that wire in a certain given position. You can change the force in the Z axis direction to go ahead and get around glass. But simply to say, to apply force, is too broad a construction for this term and it's going to conflate with driving, advancing, and some of these other terms in Claim 1.

THE COURT: All right. Thank you, Counsel.

Let's move on to the next disputed term, intermediate layer. Plaintiff tells me there's no

construction necessary and defendant gives me a lengthy 1 2 construction. 3 Let me start with the defendant in this case and let me hear their explanation of why the proposed 4 5 construction is appropriate. 6 MR. DEANE: Good morning, Your Honor. Michael 7 Deane here. 8 THE COURT: Good morning, Mr. Deane. Go ahead 9 when you're ready. 10 MR. DEANE: So the intermediate layer term as we 11 just discussed is present in multiple places in the claim, including in the biasing step. And what I think 12 the dispute here is, Your Honor, is that the defendants 13 believe that the intermediate layer is everything between 14 15 the glass and the electronic display, and our 16 construction of that term attempts to capture that concept whereas the plaintiff's construction leaves that 17 18 issue open. And the defendant's construction tracks the specification. And we would be perfectly happy, Your 19 20 Honor, if you adopted the language right out of the 21 specification here as well. THE COURT: Are you talking about Column 5, lines 22 23 50 to 56? 24 MR. DEANE: Yes, Your Honor. Where it says that 25 the intermediate layer is bounded by upper and lower

interface planes which are adjacent the electronic portion in the glass layer. We think that that's defining the boundary of the intermediate layer, the glass layer and the electronic display layer. And we think the second part that says the distance between those planes is the thickness of the layer. And so we believe that that means everything in between.

And so as you can see from the picture at the bottom of the screen, there may be multiple components inside of an intermediate layer. So there may be a layer of adhesive, a polarizer, and another layer of adhesive. And so we believe that our construction tracks how the specification describes the intermediate layer where it's described as a sandwich structure with the buns being the glass and the electronic display and everything in between being the intermediate layer.

And I think it's important to point out, Your Honor, we're not arguing that this requires a specific orientation. The next line in the specification that I believe the plaintiffs cite in their brief is, you know, we believe perfectly valid. Upper and lower are terms that can be interchanged. But, you know, from our perspective we're not up here to argue that the glass always has to be the upper layer and the electronic display always has to be the lower layer. What we're

trying to capture is that everything between is the 1 2 intermediate layer. And we think that the reason that this is important, Your Honor, is because again, the 3 intermediate layer is where the biasing takes place. And 4 5 it's important to define where that location is. And we 6 think that the potential problem is, is that the 7 plaintiff may try to argue that the intermediate layer is 8 only a portion of that layer in between. Because it is 9 possible to insert a wire in, for example, the upper part of the intermediate layer or the upper adhesive above the 10 polarizer. And if you're doing that you're not -- if 11 you're doing that, you're inserting it into an 12 intermediate layer. And we think that they are going to 13 then read the rest of the claim to say that they are then 14 15 biasing against the polarizer. And since the polarizer is in the plane that's most adjacent to the electronic 16 17 display, that that somehow meets their claim language. 18 And we think that that's improper and we think that that doesn't actually -- that is inconsistent with how the 19 20 specification describes the intermediate layer as a 21 whole.

And I'm not sure, I think we're actually not that far apart on this claim term. But the issue is that the plaintiff's brief doesn't quite get there. They say that the claims define the intermediate layer and they say the

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claims define the intermediate layer as a portion of the display unit between the glass top and the display portion. But what they are pointing to is the preamble. And nobody is arguing that the preamble is limiting. And so what the defendants are trying to do is clarify the construction of intermediate layer by using how the specification describes it and defines it instead of as the preamble, which nobody argues is limiting.

So, you know, I guess if the plaintiff wants to come up and say that they think that the intermediate layer is everything in between the glass and the electronic display portion then we would be in agreement. But as it stands right now, we believe that plaintiff's nonconstruction leaves the issue unaddressed.

THE COURT: And is that because of the polarizer as well as the adhesive? Is there an open door here to something else being in that gap between the glass and the electronic display or is that just an unknown at this portion?

MR. DEANE: We believe it's unknown in the sense that plaintiffs' construction leaves it unaddressed. And there are various different products that are being accused of infringement, each which have different components in between. And so our attempt is to make sure that the plaintiff doesn't come in and argue there

are, for example, multiple intermediate layers where some portion of the intermediate layer consists of one intermediate layer and if the biasing takes place in that intermediate layer then it meets their claim element. We believe that the intermediate layer must consist of everything in between the glass and the electronic display.

THE COURT: And your primary support for that is the portion of the specification we talked about in Column 5?

MR. DEANE: Yes, Your Honor. We believe that this portion of the specification tracks the defendant's definition. But again, to the extent that this is more clear, we're happy to adopt this language as well. Our goal was to capture the concept.

THE COURT: Let's look at the drawing you've got on the screen with the glass, for lack of a better term, on the top here, and the electronic display on the bottom, then you've got the adhesive with the polarizer shown with adhesive on either side of the polarizer. As a practical matter, does this wire dodge the polarizer in the middle of this intermediate layer and does it slice through the adhesive either between the glass and the polarizer or between the polarizer and the electronic display? Is the polarizer of a consistency that's equal

to the adhesive and it doesn't matter? I mean it almost looks like the polarizer could be an impediment to use of the wire between the glass and the electronic display to remove the glass and still allow for the kind of Z directional movement that we talked about earlier in dodging shards of glass, et cetera.

Do we have some accused products that are -- or potentially accused products that have something besides a polarizer or are there two different polarizers with adhesive between -- I mean do we have a, you know, wedding cake situation with layer, layer, layer, layer in this intermediate area? Tell me what your view of those questions is.

MR. DEANE: So, Your Honor, I guess the best way to describe it is I think -- I think you're correct and you're on to what we're also on to here, is that the different products have different components in there, and we think that the intermediate layer is the way it's described by the patent, consists of all of those components. And so there is in some instances going to be a wedding cake situation in the sense where there's going to be one polarizer and two different adhesives in some products, but we're more concerned with the method of removal because we -- there's a lot of ways to remove a protective glass cover from a cell phone. And they

have — the way that they've accused it and the way that they've accused this specific biasing step is to do it in a plane adjacent to the electronic display. And so we don't believe that if you have a method where you're not — where you're removing the glass cover but not removing the polarizer and you have to go back later and remove the polarizer, you're going to be able to achieve that biasing step as they've claimed it. And so we think that of the various different methods that you could potentially remove glass from a cell phone screen that they've claimed one of these methods. And so we believe at minimum to achieve their biasing step they are going to have to bias adjacent the electronic display, you know, in reference to that figure.

THE COURT: Are you aware of any products where the wire makes more than one pass between the glass and the electronic display? Again, go back to your art work here on the screen. Perhaps the wire runs between the glass and the polarizer and then comes back and on the second pass runs betweens the polarizer and the electronic display.

MR. DEANE: Yes, Your Honor.

THE COURT: I would think some polarizers are probably of a material that would impede the movement of the wire, and as a practical matter the density of the

polarizer would be considerably different than the 1 density of the adhesive. And as a practical matter, 2 3 people in the art would be trying to say in the adhesive as they remove this, whether it's all done in one pass 4 between the electronic display and the polarizer or 5 6 whether it's done in two passes where you remove the 7 glass but leave the polarizer and then come back and have 8 to do a second pass to remove the polarizer. 9 MR. DEANE: That's correct, Your Honor. We're aware of the -- of the method that you just described, 10 11 which was the two pass method where you remove the glass, and then once the glass top is removed you then come back 12 later and you remove the polarizer. The polarizer is 13

THE COURT: All right.

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What else do you have for me on this, Mr. Deane?

MR. DEANE: That's it, Your Honor.

THE COURT: Let me hear from the plaintiff in response.

very thin, but at the same time there are machines out

there that are -- that do two passes on the device.

MR. PETRSORIC: Good morning, Your Honor, John Petrsoric from King & Wood Mallesons for the plaintiff, Viking Technologies.

I think this dispute largely comes down to whether the claim, including the preamble, defines the term

versus whether there's a lexicographical definition in the specification. Clearly as we've been going through the biasing step, the issue of the spacial construction with the intermediate layer being sandwiched in between the glass top and the electronic display portion, is critical to the way the claims operate because the biasing step tells us that the cutting device or wire has to go away from the glass and adjacent the electronic display. That would clearly suggest that indeed as the preamble suggests — and the specification does suggest as well that the intermediate layer is immediately sandwiched in between the glass top and the electronic display.

Mr. Deane just mentioned that the defendants would be okay with the lexicographical definition from the specification but that is not a clear — there was no clear intent in the specification to initiate or to select that as a definition. And we do believe the preamble is limiting. It's not a discussion we've had with the defendants and I don't think anybody has specifically proposed it, but if the preamble is not limiting, the claim is — sort of loses a bit of sense because how could you then go away from the glass and adjacent the electronic display if the intermediate layer isn't sandwiched in between the glass top and the

electronic display.

And again, if you go back to the preamble of all the asserted claims the preambles clearly define that the display unit has a glass top, electronic display portion, and the intermediate layer there between. So the intermediate layer in all these instances and in the infringement as well, is going to be in between the glass top and the electronic display portion and that's consistent with the -- what we're showing in Figure 7, even as annotated by the Applicants during the prosecution.

The specification clearly states that the cell phones generally use the sandwich structure. This is not a definitional statement. Neither is the next set of statements that defendants rely on for their assertion of lexicography.

THE COURT: Let me stop you there. Let's look at that highlighted language. And it begins with, "regardless of the nature of the intermediate layer." Doesn't that pretty much say this covers everything? I mean that's a pretty broad introductory phrase there, "regardless of the nature of the intermediate layer."

That doesn't raise any indication to you that that may be lexicographical?

MR. PETRSORIC: I don't believe it does. It does

not say this specific invention. And I do believe the claims are drafted to specifically address the definitional aspect of it.

THE COURT: All right.

MR. PETRSORIC: So I think the next — we clearly agree that regardless of whether something is in an upper or lower position based on whether the glass is face down while the method is being performed or whether the glass is face up while the method is being performed, we're in clear agreement that the intermediate layer is bounded by the electronic display portion and the glass. It comes right out of the claims, nobody is disputing that.

THE COURT: Well, if we're all in agreement that that's the case that whatever is between the bottom of the glass and the top of the electronic display is the intermediate layer, where is the fight?

MR. PETRSORIC: That's what I -- I think the fight may well have been whether the preamble is limiting. And I think plaintiff concedes that the preamble is indeed limiting in that the intermediate layer is defined by the sandwich of the glass on one side and the electronic display portion on the other.

THE COURT: Well, maybe I'm -- maybe I'm missing something here but if both sides are telling me the intermediate layer is what lies between the glass and the

electronic display, why shouldn't I just adopt that as 1 2 the construction rather than leave, as you've suggested 3 in your briefing, no construction is necessary and leave the door open to some creative trial lawyer in front of a 4 jury deciding to tell them that it's something different 5 or there's some other reality here than the intermediate 6 7 layer is between the glass and the electronic display. 8 MR. PETRSORIC: We believe that that's what is 9 expressly stated in the preamble. And if the preamble is limiting we wouldn't believe that there would be any 10 11 further construction necessary beyond that. That it's 12 already -- that definition is already expressed in the claim itself. 13 14 THE COURT: So it's not about what the definition 15 is, it's about whether it should be expressly stated by the Court or should be simply left as it exists through 16 17 the limiting preamble? 18 Exactly. MR. PETRSORIC: Yes. THE COURT: So that's what we're fighting over? 19 20 How to say the same thing we all agree on? 21 MR. PETRSORIC: It would appear that way, yes, without adding -- without the exercise of adding excess 22 23 words like upper and lower that only would be a potential source of confusion. 24 THE COURT: Okay. All right. Well then what else 25

do you have for me?

MR. PETRSORIC: Again, just a quick recap.

The claims specify that the intermediate layer is the layer between the glass top and the electronic display portion and it's fairly self evident given we're in the three-dimensional world here that the intermediate layer, like the glass top and the display portion itself, are going to have a length, a width, a thickness, and it's the -- there's beyond the limitation that's set forth in the preamble, there is not a necessity for the Court to do anything further in terms of construction.

THE COURT: All right. I think I now understand your position better. Thank you, Counsel.

MR. PETRSORIC: Thank you.

THE COURT: Okay. Let's go on to, in the intermediate layer. Again, the plaintiff is proposing no construction is necessary, the defendant proposes after the cutting device/wire enters the intermediate layer.

Let me hear from the plaintiff first on this. I'll save you a few steps.

MR. PETRSORIC: Thank you, Your Honor.

I think the main dispute in the parties' construction here is whether this boils down to a spacial limitation or whether this could be a temporal limitation. Starting with the claims themselves, we

are -- biasing is performed, we know, as an expressed requirement in the claims, in the intermediate layer adjacent the electronic display portion and away from the glass. So we know that biasing, we're applying some force and the parties agree on there is an application of some force on the cutting device or the cutting wire in the intermediate layer to move it away from the glass and adjacent the electronic display portion.

So if we look at Figure 7 again, in thinking of this is a cross-section with the cutting wire, for example, while it's in the intermediate layer, the glass is on top and the electronic display portion is below. Biasing is — applies a force to bring the wire towards the electronic display and away from the glass. Again, this is the expressed language of the claim. And the expressed language of the claim is that this has to happen in the intermediate layer.

This was re-enforced in the prosecution history. For example, the Examiner had stated early on that the method claims did not limit a bias being induced to the cutting device until it had entered the intermediate layer. And the Applicants noted in retort that that's what — the expressed language of the claims is such that the biasing must occur in the intermediate layer. Now undoubtedly and from a practical perspective the

Applicants noted that, well, of course if it's going to happen in the intermediate layer the cutting wire would have already had to enter the intermediate layer. The claims make no sense otherwise. But that's also not a reason to redraft the claims.

And of course from based on the Applicant's argument that the claim specifically require that the biasing literally occur in the intermediate layer, the Examiner was overruled by the PTAB and the claims were allowed. We believe no construction is necessary because as the defendants say, this is an otherwise clear claim limitation in that the distinction as from no construction necessary versus after the cutting device or wire enters the intermediate layer is an academic difference. We don't understand how a clear -- how and why then a clear claim limitation would need to be redrafted.

THE COURT: Let me hear from the defendants, please.

MR. VALAIK: Your Honor, in the intermediate layer is probably similar to intermediate layer here in that there really isn't much of a dispute between plaintiff and defendants.

THE COURT: Well, let me ask you this. I'm looking at your proposed construction and I'm fast

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forwarding in my mind a jury box with a jury in it, and lawyers at the table, a trial taking place. I'm worried about "enters the intermediate layer." When the wire touches it has it entered it? If half the wire is inside the intermediate layer and half the wire is outside, has it entered it. I mean this looks like to me it's rife with potential disputes and problems, and of course claim construction is supposed to limit the number of problems, not increase them. And I'm looking at entering the intermediate layer, when do you enter? When have you entered? When are you in the process of entering but you haven't completely entered yet? This looks like a Pandora's box to me. So why is this helpful and why is it necessary as opposed to simply taking the claim language as it stands and saying that there's no construction necessary.

MR. VALAIK: For two reasons, Your Honor. Again looking at the prosecution here — and this is from the Applicant in the course of prosecution. They said the Patent Examiner stated one of ordinary skill in the art upon reviewing Appellant's entire disclosure would not come to the conclusion that the method claims limit inducing the bias to the cutting member until after it enters the adhesive layer. And so Viking makes abundantly clear biasing has to take place in the

intermediate layer after that wire has entered. And yet when you look at the entire disclosure here, the sophisticated Patent Examiner did not come to that conclusion based on the entire disclosure of that. This biasing step is limited to being in the intermediate layer.

THE COURT: So as half of the wire passes into the intermediate layer and half of the wire is still outside the intermediate layer that portion of the adhesive that's been separated by that first half of the wire was not biased; is that right? Because all the wire is not in the intermediate layer yet.

MR. VALAIK: Well, our position I think would be, Your Honor, that once the wire enters the intermediate layer, any portion of the wire, then you've entered the intermediate layer.

THE COURT: I'm worried about how we define enters. And we don't have to define enters unless I adopt your construction. So give me some comfort that I'm not creating a problem instead of solving a problem.

MR. VALAIK: Well, the other part is if we look at the specification in the 537 Patent -- and I don't have a slide on this. But we mentioned this in our papers, and actually plaintiffs had a slide on this in their presentation. The specification also discloses at Column

3, lines 55 through 60, of biasing prior to entering the 1 2 intermediate layer. And so, you know, there's a disclosure where it's in the spec, Viking clearly says 3 that's not biasing for purposes of this claim. But if 4 the Court is worried after the cutting device wire enters 5 the intermediate layer, you know, we -- we could amend 6 7 this to make clear any portion of the wire enters the 8 intermediate layer. But we believe a construction here 9 is necessary to prevent some dispute down the road. Because as the Court has seen, the prosecution was rife 10 11 with prior art; Sampica, Tajima, some of these other references which talked about biasing prior to entering 12 the intermediate layer. And the whole Patent Appeal 13 Board decision was based on that prior art is 14 15 distinguishable because the biasing had not taken place 16 in the intermediate layer. So we think a construction is 17 preventing a potential claim construction dispute in the 18 form of Daubert or something else down the road. 19 But I understand Your Honor's concern. We don't

But I understand Your Honor's concern. We don't want to confuse the jury. And so if we put in there "any portion of the wire enters the intermediate layer" and that clears up that confusion, we believe we would be assisting the jury so that they don't make the same mistake the Examiner here was told he made based on what Viking said.

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THE COURT: Well, just for the purpose of thoroughly confusing everybody, if biasing is applying force, how does the wire ever enter the intermediate layer unless a force has already been applied to it to get it in the intermediate layer?

MR. VALAIK: That's true, Your Honor. That's why we believe biasing, simply the application of force, is too broad a construction. Because you can't move that wire unless you apply a force. Biasing has to mean more than that. And so I think it is confusing.

THE COURT: Well, I'm not sure how -- and I'm not trying to backtrack, but I'm not sure how applying a force to the cutting device to hold it in a given position that you proposed for biasing, I don't know how that addresses that issue of whether the force is applied to the wire to move it into the intermediate layer, as opposed to moving it through the intermediate layer once it's already there.

MR. VALAIK: Well, I believe the rest of the claim will take care of that in terms of — if we go back to — I mean the rest of the claim addresses the biasing, the cutting device in the intermediate layer. And so we believe read in context why the application of force to hold it in a given position — and we could modify that, Your Honor. We could modify "to hold it in a given

position" to "maintain a certain position" so that we get away from the hold it language if the hold it language is somehow going to be understood as being stationary. But we then believe in context that makes sense. Because when you look at Claim 1 here, you also have the driving and advancing which is the application of force as well. And so we believe that additional "to hold it in a given position" or we would offer "to maintain a certain position" that really adds meaning to that biasing limitation and adds clarity. And it's pretty clear, too, it's adjacent the electronic display. So we think that would clear it up.

Getting back to in the intermediate layer though, Your Honor, I don't want to leave that fully. Again, we would agree if we could put in there, if a portion of the wire — after a portion of the wire enters the intermediate layer would clear that up.

THE COURT: Well, I mean looking at the claim itself which you've got on the screen, the biasing step occurs before the driving step. And if you're talking about the driving language of the claim addressing the issue of the force moving the wire into the intermediate area, aren't you effectively reordering the claim here?

MR. VALAIK: And really, this is I think probably better if plaintiff responds to that. But I think

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logically biasing has to take place in the intermediate layer and driving the cutting device into the intermediate layer by definition then would have to precede any biasing. You have to enter the intermediate layer to bias. And so this being the second limitation, you know, I think lends itself to that very confusion the Examiner had. Which the Examiner citing prior art. Sampica and others, where biasing was taking place prior to entering the intermediate layer, that's reading these limitations in sequential order here. Certainly don't know how plaintiff intends to present their case to the jury, but I'm sure it's going to be driving first in the intermediate layer. And biasing can take place at any point as you are cutting through. As plaintiff's counsel said, it's just once. And so hypothetically, that could occur at any point.

THE COURT: Well, if you take the claim language on its face and if you don't go behind what the Examiner did and didn't understand but we have an adopted -- we have an allowed claim here, we're fixing it, we're aligning it, we're biasing, and then we're driving it into the intermediate layer. So on the face of the claim the biasing limitation occurs before driving it into the intermediate layer which tells me there can be biasing outside of the intermediate layer. But you want me to

adopt a construction that limits the biasing to occurring 1 2 within the intermediate layer. 3 MR. VALAIK: Yes, Your Honor. THE COURT: So how do you reconcile those facts 4 5 for me? I mean are you not asking me to reorder the claim by giving the definition to this language that 6 7 you're asking? MR. VALAIK: Well, I think the plaintiff would 8 9 cite law that the steps in the method claim do not have 10 to proceed in sequential order. We haven't had that 11 argument. And we, quite frankly, haven't had the discussion with plaintiff, you know, what is the order by 12 13 which these steps take place. The prosecution history was rife with this very dispute and it was resolved 14 15 because plaintiff made clear biasing cannot take place prior to entering the intermediate layer. They were the 16 ones then who by definition I think limited Claim 1 here 17 18 to that understanding, that interpretation, Your Honor. Okay. Anything further? 19 THE COURT: 20 MR. VALAIK: No, Your Honor. 21 THE COURT: Thank you. 22 Okay. Let's go on to the coplanar terms. Coplanar aligning a cutting device in a coplanar 23 relationship. And quite honestly, Counsel, this is where 24 25 I anticipated most of your arguments would take place,

but we've done a good job working up to this point. Plaintiffs are telling me coplanar means in the same plane. And defendants tell me that, but when we get to coplanar relationship defendants tell me that's indefinite.

Let me hear from plaintiff first, and then I'll hear argument from defendants second.

MR. PETRSORIC: Thank you, Your Honor.

With respect to coplanar and aligning in a coplanar relationship, there's two primary disputes. The first one is whether the cutting device and the intermediate layer may share more than one plane, and that's that why we have "a plane."

And the second one is whether aligning in a coplanar relationship is indefinite. And particularly, whether a person of skill in the art would understand that two or three-dimensional objects can be described as coplanar.

And I'm going to address these two disputes together because they are related. Now again, we start with the language of the claims. And here first with respect to biasing, the biasing step does occur after the driving step so they didn't ask for a limitation that required the method steps to be performed in the same order. And if they would have, we would have disputed

that. So there's no limitation here requiring the method steps to be performed in this specific order and there's no dispute about that as far as we understand.

THE COURT: Okay.

MR. PETRSORIC: And so what does it mean to align in a coplanar relationship. First as I said before, we fix the display unit in a carriage. We expose the intermediate layer on all sides. The very next step says you align a cutting device in a coplanar relationship with the intermediate layer. And Figure 7 which is annotated with a Z, is shown on the slide. We submit that just based on Figure 7 and reading the claim language one would understand with reasonable certainty what it means to align a wire in a coplanar relationship with the layer. And the specification does more than just give Figure 7, it gives example after example of what it means to be coplanar and what it means to be in a coplanar relationship. And I'm going to go on to the specific descriptions in the specification.

THE COURT: Well, how do you respond to what I assume I'm going to hear from the other side that even a judge without an engineering degree, took ninth grade geometry, and in ninth geometry coplanar was between two-dimensional objects, not three-dimensional objects.

MR. PETRSORIC: Well, I believe in geometry,

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perpendicular and parallel are also between twodimensional objects and not three-dimensional objects. So often those of skill in the art of mechanical engineering understands that these descriptions -- and it's not just coplanar, it's coplanar relationship. it doesn't say the two- or three-dimensional objects are coplanar, it describes them having a coplanar relationship. And when looked at in light of the specification, one skilled in the relevant art which would not be a geometry professor, at least that's our understanding, would understand what it means and it says so right in the patent, and explains it. Column 1, 53 to This is right -- when it's the summary of the invention, the second or third sentence, it says first you hold it in a carrier, the phone or the device. Then it says a wire having a thickness of less or equal to that of the intermediate layer is coplanar would set into the intermediate layer. So the wire being a thickness of less than or equal to that of the intermediate layer is the key to having that coplanar relationship. once you expose the intermediate layer on all sides, if the wire is the same you can -- there's one available plane, and you can just push it into that one available But if the wire is thinner -- and the plane. specification explains that -- then there will be

multiple available planes in the intermediate layer that you could use to push the wire through. So that's what's explained here at Column 1, 53 to 59. But again, Column 6, 4 through 9, by interposing a wire or a cutting blade of thickness equal to or less than that of layer 24. And as we saw in Figure 7, layer 24 is the intermediate layer. And the reason that's important again is because in order to align a wire with the planes of the intermediate layer it has to be the same size or less. If it's thicker, you can't do it.

And now with respect to coplanar relationship. As shown in Figure 5, and as will be explained herein, the use of a thin wire interposed between layers 22 and 26, which is the top layer and the bottom layer, and aligned in a coplanar relationship with the intermediate layer. So we have a thin wire. And thin really is the aspect of where they are taking the geometric definition and using it. Since the wire is so thin, technically as matter of geometry and we all agree the wire will have a — technically a top plane and a bottom plane. And we would agree that each of those technical geometry planes has to be lined up with the intermediate layer. But the specification uses the term and the claim uses coplanar relationship as if the wire was so thin it would be considered to have a one plane. And that's how it's

described. And this is describing it. It says preferably you align it as close as possible to the plane adjacent the electronic display portion which is away from the glass.

And then specification teaches again at 6, 10 through 18, preferred method. You maintain the cutting element in a coplanar relationship with the plane adjacent the electronic display. This can be accomplished by aligning it carefully to maintain the coplanar relationship. So you're aligning it and you're pushing and you're trying to hold it aligned with that intermediate layer.

Then it goes on, it says the method can be practiced by aligning the wire in the same plane as the intermediate layer or in any plane between the two interface planes. The interface planes are the top and bottom layers. Now the defendants latch on to this and say, see the use of "or." It's saying it could either happen in the same plane, or between something different, any plane between the two. And they say this is confusing, one skilled in the art doesn't really know what this means. But the very next sentence explains it. Because the wire may be thinner than the layer, there could be multiple available planes. If they are approximately the same size then there's one available

plane, the wire is thinner, one skilled in the art would understand and you can put it in any plane.

And then Column 8, 65 to 67. Again, here it's saying it's preferably aligned with the intermediate layer at its midpoint or adjacent to the electronic display portion without engaging its surface. So it's saying there's multiple available planes to align it with. And that's why our construction has "a" and their construction has "the." In the same plane. And our construction has in a same plane. Because when you're in a coplanar relationship there could be more than one planes available and that's all we were trying to make clear there.

Now the defendants, the only evidence they rely on is The Facts on File Geometry Handbook. And this is what it says for the definition of coplanar; "lying on a common plane." And then on the right we have the claim line. And the question is would someone understand with reasonable certainty what it means to align a coplanar -- align a cutting device in a relationship such that they are lying on a common plane such that it's lying on a common plane with the intermediate layer. And with reasonable certainty looking at Figure 7, we believe a person with skill in the art would know, and certainly know with a level of reasonable certainty what's meant

there.

Now in order to arrive at their argument regarding indefiniteness, defendants must disregard the claim context because the claim talks about your -- it's using -- it's not coplanar, it's coplanar relationship, and it's aligning the wire in the coplanar relationship with the intermediate layer which is fixed. To ignore the field of the invention, instead relying on geometry textbooks instead of anything from one of skill in the art. They misapply and misuse the specification and say one of skill in the art wouldn't understand what coplanar means in light of the specification. With the specification itself, at least in our view, provides an understanding with more than reasonable certainty what the phrase means.

The defendants also disregard the geometric phrases are often used in claims to describe real-world relationships between three-dimensional objects such as parallel and perpendicular. Defendants have no evidence from one of skill in the art, no opinion testimony. And the defendants also disregard the courts, both the fed, circuit, and district courts who routinely recognize that words like coplanar can be used to describe the relationship between real-world objects.

And lastly, in the Whirlpool case this Court

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recognized that expert declaration to demonstrate the understanding of one of skill in the art is typically necessary for a finding of indefiniteness. And here, the defendant's argument is based almost solely on attorney argument, other than the geometry textbook which I referenced earlier.

And just one last thing before I sit down. wanted to point out on the biasing step, away from the In the parties' briefing -- and this is from the defendant's brief themselves -- we agreed that away from the glass means in the Z direction. So the force is not applied as you're pushing it into, that's not in the Z direction. This is recognized on page nine of the defendant's brief. They said, "the parties further agree that the claim biasing step requires that a force is applied to the cutting device in the direction along the Z axis." So there was some confusion based on the defendant's argument. They said, well, you're biasing as you're pushing in. That's not the claim biasing. The claim biasing goes down, and you can only do that claim biasing in the intermediate layer. And it goes away -away from the glass. That's the direction it goes if you're pushing down. Why? To get around those shards of glass, and that's what's described in the spec. And in the parties briefing they agreed to that, but defendant's

just argued that, no, you could be biasing as you're going in and that's why it requires construction and that's why it's confusing. The plain language of the claim states that the biasing must occur away from the glass. If this Court would like to clarify that to the extent the defendants intend to argue otherwise, we would not object to that. But away from the glass is certainly correctional.

That's all, Your Honor.

THE COURT: All right. Let me hear from the defendants.

MR. DEANE: So, Your Honor, as we know, the coplanar relationship term that's at issue here is part of this aligning step. And we believe here that plaintiff is correct that there's two principle disputes. And the defendant's construction of coplanar is the first dispute, and then whether the term is indefinite is the second dispute. I'm going to address the first part first here.

And what we believe is that the defendant's construction of in the same plane is the proper construction. Plaintiff's construction of in a same plane is inconsistent with basic geometry. And as you just heard them come up here and say, they actually now have even expanded it more to mean it just has to share

more than one plane. And we think that that's completely inconsistent with the definition of coplanar as Your Honor pointed out, we all learned in ninth or tenth grade geometry.

So certainly under all constructions the term is indefinite. But when you look at claims construction, their construction is now so broad that it absolutely is indefinite and we'll show you why here in a minute. I think it may help to start at a high level.

Up here on the screen is U.S. Patent -- or U.S. Application 2010/0199818 from the file history. And this is showing that in the art these generic cutting machines were known. As Your Honor alluded to earlier, this is the cheese slicer. You can manually have a wire pulled through reels. You can manually slide a block that's bonded together to separate the layers of that block, and it was used in various contexts. But these rudimentary machines are not what plaintiff claims to have invented. The machine on the right is from the asserted patents. And what the plaintiff claims to have invented is a machine that precisely aligns the wire -- you saw them pull up those specification passages that say that -- and then biases the wire in a specific way in the intermediate layer.

And we believe this is evident from the claims.

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What's up here on the screen is Claim 1 from the 953
Patent, and it says a display unit in blue -- and that's
from Figure 7, shows the phone as the display unit -defining an axis extending along said intermediate layer.
And that axis is the X or Y axis that's shown on the
screen in Figure 7. These are where the cutting device
must be aligned in the aligning step to be coplanar.

And we can see that this axis -- we can see that one of these axises is important because in the driving step you drive the cutting device into the intermediate layer relative to this display unit axis. So this geometry is important to the claim. The coplanar relationship is fundamental to the claim. And so the defendant is focused on what coplanar means. And again as Your Honor alluded to, coplanar means in the same plane. Points A, B, and C are coplanar. Lines A/B and C/D are coplanar. These are simple concepts that we all learned from ninth and tenth grade geometry. And this is a basic mathematical principle, Your Honor. You can take judicial notice of what coplanar means. You don't need expert testimony for somebody to come in here and tell you that.

We also know what coplanar doesn't mean. Point C is not coplanar with points A and B. Likewise, if we have parallel planes those are not coplanar. C and D and

A and B are not coplanar. And importantly here, Your Honor, intersecting planes are not coplanar. Points C and D and points A and B on these intersecting planes are not coplanar. And we don't think that any tenth grade geometry student would come up here and tell you otherwise. But plaintiff's definition of sharing a same plane, or as they alluded to up here, sharing one or more planes, is so broad that they are going to come in here and argue later that it captures these orientations.

So going back to the patent, Your Honor, here's Figure 3. And I've annotated it with the wire across the machine and the X/Y axis shown on top here. And if this claim is definite, Your Honor, then this is the only possible thing that coplanar can mean. In the same plane as the X and Y axis that defines the intermediate layer. It's kept taut --

THE COURT: What about the situation, Mr. Deane, where opposing counsel argued that given a disparity between the width of the wire and the width of the intermediate area that there could be multiple planes all parallel to each other, but within the larger intermediate area than the single width of the wire? Are those all the same plane in your mind because they are parallel to each other?

MR. DEANE: No. We disagree with that, Your

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Honor. It's shown on this slide, points in two parallel planes are in fact by definition not coplanar. And that's the problem with plaintiff's claim, is they've used three-dimensional language in a two-dimensional way and they've meshed them together in a way that is uncertain and subjective to somebody trying to read the claims.

And so in the aligning step -- which again, Your Honor, is a precise alignment that the plaintiffs here have invented. What they've done is not define any reference point on the wire or any reference point in the intermediate layer that is supposed to be coplanar with each other. Instead, they've just simply said put these two objects together and therefore they must be coplanar. And we think fundamentally what that is, is leaving the word coplanar out of the claim. What plaintiff's want you to do is say that if you would align in any relationship with the intermediate layer then you have met the claim definition of aligning in a coplanar relationship. And we think that's exactly why a person of skill in the art, or anybody knowing what the definition of coplanar means, would know that without a reference point you can't actually align precisely in what layer of the intermediate layer the wire needs to be aligned. I'm sorry, you don't know what layer in the

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intermediate layer this wire is supposed to be aligned in.

THE COURT: But aren't you asking me to take a two-dimensional concept that relates to a three-dimensional process and say the square peg won't fit in the round hole, and therefore we win? Because this is a two-dimensional process, it can't be applied in the three-dimensional context even if we have language like coplanar relationship, as opposed to absolutely coplanar.

MR. DEANE: No, Your Honor, I do not believe that we're asking you to do this. What the plaintiff is asking you to do is to rewrite the claim to avoid that exact problem. What the plaintiff could have done is the plaintiff could have provided you a reference point. For example, the plane that runs on the top of the wire. They alluded that the wire has an upper boundary plane and a lower boundary plane. They could have said that plane is coplanar with the plane defined by the plane adjacent to the electronic display. Those would be in a coplanar relationship. Likewise, they could have said a midpoint of a wire is coplanar with a midpoint of a intermediate layer, but they didn't do that. Instead through their claim drafting and their choice of the words, they have made this claim virtually impossible to figure out where this wire is supposed to be aligned.

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And that exact subjectivity is what gives rise to the indefiniteness problem, certainly, you know, under defendant's definition, but most certainly now under plaintiff's definition. And that's where we — you know, we don't think that plaintiff's definition solves this problem of two dimensional versus three dimensional.

And if I can show why -- you know, I have this illustration that I think expands what we're talking about here where I have taken Figure 7, rotated it, and then just sort of blown it up so that the intermediate layer is shown here. And, you know, we think that if Your Honor is going to find this term definite, this is what it is. It's a straight parallel wire in a parallel plane with the X/Y axis that we were just talking about in the claim, and that's the only thing it can possibly But the problem with plaintiff's definition -- and if you look at what plaintiff says is they said we're not even applying that geometric definition to these terms. We're not applying what a -- how a professor would use this term. And they say that -- you know, they've defined a -- somebody with a background in engineering, but a person with a background in engineering would apply this term in the same way that they learned it in ninth or tenth grade. What they are saying is that the asserted patents have a special definition. But they

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haven't given this special definition, they've simply changed the word "the" to the word "a". From a legal perspective, that now changes entirely what the term means, and it removes it completely from what everybody that took tenth grade geometry would understand.

And here's an example of this, Your Honor. This is now a slanted wire that's going through the intermediate layer. It's no longer parallel to that critical X/Y axis. It's no longer sitting in any parallel plane. But the language that the plaintiff came up here and used was that the wire simply has to share more than one plane with the intermediate layer. Well, now they are going to come up here and try to argue that this maybe falls within their construction of the term. And we think likewise, this one too shares more than one plane with the intermediate layer. This would be a vertical wire going through. And this one, Your Honor, this is a wire that's bent as it's going through the intermediate layer. It's not even coplanar with itself, but as we saw, it has intersecting planes and those planes could share more than one plane with the intermediate layer. And we think that by departing from the geometric definition of this term they've now rendered their claim even more indefinite. Now a person of ordinary skill in the art that was stuck trying to

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figure out where to align the wire in a parallel relationship with the upper and lower bounds of the intermediate layer, are now stuck trying to figure out how to actually orient the wire in the intermediate layer. And that from our perspective, Your Honor, you know, renders the claim indefinite because it provides no reasonable certainty to anyone trying to accomplish the aligning step using this coplanar relationship. And that's a function of how the plaintiff drafted their claim term. They didn't have to draft it that way. They didn't have to ask Your Honor to rewrite it. They could have done it right the first time. But instead, what they need you to do now is they need you to come in and essentially write out the word coplanar to save the definiteness of their terms and we think that that's improper under the legal standard. We don't think that aligning a cutting device in any relationship with the intermediate layer is the proper way to construe this term to save it from being indefinite.

And I'll address the cases that were cited for the first time in plaintiff's reply brief. And the only case that they cited which actually tried to construe the term coplanar, that was the Graco Childrens Product case. They construed the term coplanar exactly as the defendants ask you to construe it here. They interpreted

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it as in the same plane. Didn't say in a same plane because while those geometric handbooks may have said in a plane, in the legal sense a plane means one or more planes. And that's exactly what they came up here and asked you to do, was rewrite their claim so that it means something that it doesn't mean. And that's precisely why the Court here said in the same plane. Likewise, the federal circuit here, they had no trouble using the word coplanar not to -- not in a construction, not in the context of indefiniteness, but to describe a flat panel Here they are using 2D language, flat panel, and 36. they are saying that the flange 40 that was bent out of the plane of the flat panel was not coplanar with the otherwise flat panel. That's completely consistent with how defendants are using the term. And we don't think that there's any inconsistency there with using twodimensional language and using the term coplanar in that context.

In the other case that they cite here was a case against Trinity Industries, and this was a guardrail head. And the Court here — again not construing the term coplanar — said Edge 70C is in a coplanar relationship with Edge 72D. There's no inconsistency here. The Court there was using two-dimensional language and said in that context that those two things were

coplanar. And so again, it's not that you can never use a term like parallel, or perpendicular, or coplanar, it's that you have to set your claim up so that a person of ordinary skill in the art would understand what reference points you're using to then define those dimensions. And we think that fundamentally is the problem with the way that they wrote their claim and why this particular claim is indefinite.

THE COURT: Let me ask you this, Mr. Deane, and let's focus on coplanar, not coplanar relationship, where we have plaintiff's proposed "in a same plane" and your proposed "in the same plane."

I agree with you that -- and I don't know where on your slide that the picture is, but back where you had the bent wire and the different angles of the wire going through the intermediate layer.

Yeah, back it up before that. There you go. Leave it on that one.

I understand that that's objectionable and probably not intended, and I accept that as a fairly valid argument. Here's the problem. As long as that wire has a smaller size than that intermediate layer, then there are multiple parallel planes within the intermediate layer where that wire could pass through and be not at an angle, but be at a same parallel plane with

the bottom of the glass or the top of the electronic 1 2 display. And so you're telling me that their definition 3 opens the door to this, they are telling me that your definition precludes any plane that's parallel to the 4 bottom of the glass or the top of the electronic display 5 6 because there are multiple planes and you say it must be the same plane. So how do you -- how do you suggest to 7 8 the Court that I rectify that? Because I think their 9 point is valid, that if the wire is smaller than the size of the intermediate layer then whether it comes in a 10 third of the way down the intermediate layer from the 11 top, or halfway down, or a third from the bottom, it's 12 coming in at a parallel coplanar direction. And I don't 13 14 think there's a problem with that. I think there is a 15 problem with what you're afraid of here. So between "a same plane" and "the same plane," how do you suggest that 16 I preclude them from being handcuffed by what they are 17 18 afraid of, and also protect you from being handcuffed 19 from what you're afraid of? 20 MR. DEANE: Well, I think, Your Honor, if you 21

MR. DEANE: Well, I think, Your Honor, if you adopted our definition of in the same plane you could still find the term indefinite -- or I'm sorry, find the term definite. I think that our definition --

THE COURT: Well, you haven't argued coplanar is indefinite. You've argued aligning in a coplanar

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relationship is indefinite. But your indefinite argument doesn't apply to the term coplanar by itself. Not as I read the briefing.

MR. DEANE: That's correct, Your Honor. And I guess -- I guess our -- our point would be that if you're going to find the term definite that -- that you are correct that this is the way that it's supposed to be.

It's supposed to be this parallel or this straight, taut wire aligned in the plane in the intermediate layer that

is consistent with that X/Y axis.

THE COURT: So if the length of that intermediate layer is 150 miles, the wire doesn't move up or down, it rides through that intermediate layer at the same relationship to the glass and the electronic device if it is in fact coplanar.

MR. DEANE: I think setting aside the biasing step and sort of what you heard with the argument about the Z axis, you're focusing on the aligning step.

THE COURT: Right.

MR. DEANE: Yes. The plane that is 150 miles wide and infinite in all directions here would be in the same plane as the intermediate layer. And in this particular example, adjacent to the electronic display consistent with the X/Y axis.

THE COURT: And do you agree that in this drawing

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with the intermediate layer certainly larger than the size of the wire -- and again, I'm talking about the distance from the top of the intermediate layer to the bottom of the intermediate layer versus the top edge of the wire to the bottom edge of the wire, assuming there's a clear disparity between those two things such that the height or the distance from top to bottom of the intermediate layer is considerably larger than the same dimension of the wire, that as long as it enters that intermediate layer in a coplanar fashion -- or let me say it another way. As long as it is aligned to enter that intermediate layer in a coplanar parallel fashion, that that is not what you're complaining about and defendants are all right with that, then that's one thing. you're telling me when we get down the road and you're going to say because it doesn't specify the same plane, it doesn't specify where on the height of that intermediate layer it's going to come in, therefore it's not properly aligned, therefore we win, game over, that's something I need to know about now. Because it sounds like to me your point that it can't be at an angle or something other than parallel with the plane is correct, but that's not the same thing as saying it can only enter the intermediate layer at this one point and it's precluded from entering or being aligned to enter the

intermediate layer at any parallel plane within the distance between the top of the intermediate layer and the bottom of the intermediate layer. Is that clear?

MR. DEANE: Understood, Your Honor. And, yes, and I can address that.

So as part of our indefiniteness argument here at Markman, that is our argument. Our argument is that because we don't know where it's supposed to be aligned, whether at the top, the midpoint, or the bottom, based off the words coplanar relationship, and because of plaintiff's choice to use two, three-dimensional objects and say they should be aligned in a coplanar relationship, that that is precisely what renders the term indefinite.

Now if Your Honor decides that we are incorrect and that that — the term is not indefinite, then we believe that our construction is this — in the same plane is this parallel construction that you see on the screen, where again if — if we're operating under Your Honor's ruling that the term is definite and that a person of ordinary skill in the art would know with reasonable certainty what plane it's supposed to be aligned in, then we would come in and say that the parallel to those parallel planes like a deck of cards almost, any one of those planes as long as the wire is

parallel would mean in the same plane relationship. 1 2 THE COURT: Okay. You've answered my question. 3 What else do you have for me on this? MR. DEANE: Nothing else, Your Honor. 4 5 THE COURT: All right. Then I've heard argument on all the terms. I'm happy to hear further argument but 6 I don't really think it's necessary unless somebody feels 7 8 there's something very pressing that we've overlooked 9 somehow. Plaintiff's counsel, you look like you want to 10 11 stand up and say something. Are you satisfied with the 12 argument or do you think there's something that has been overlooked? 13 I was just going to add one thing, 14 MR. PETRSORIC: 15 Your Honor. We're okay with the parallel. We used "a plane" because it's both the top plane and the bottom 16 plane of the wire and the coplanar relationship. So just 17 18 to make clear, we weren't trying to argue, you know, the sideways and I think that's an easy way to solve the 19 20 problem on that. It's the top plane and the bottom 21 plane. 22 THE COURT: All right. Thank you. 23 All right. Then I'll consider that I've heard adequate and competing argument on the disputed terms for 24 25 claim construction.

And with that, Counsel, I want to transition. I will do my best -- these matters are under submission. I'll do my best to get you a claim construction opinion as soon as possible. But these disputes are under submission.

I want to transition to what I had also noticed you for today, which is a status conference on pending motion, and motions to transfer or dismiss. And quite honestly, I did this because the Court was confused about the briefing and the argument. And I now think perhaps there's been some — perhaps unintentionally, but there's been some light directed towards this problem that perhaps explains how we got to where we got to.

Part of why I asked you, Mr. Pinker, about case 357 and Assurant is after the cases were consolidated you amended your complaint to add Assurant but you filed it in the lead case. You didn't file it in the 359 case. And the clerk's office has added Assurant to the 357 case and does not have Assurant in the 359 case. Now maybe that wasn't your intention, but the post consolidation amendment to add Assurant seems to have put it in a case that's not necessarily where you intended it to go. And consequently, in reading the briefing on the transfer issues, it looked like to me you were arguing I should take a part of the case and send it to Sherman and keep

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the rest of the case in Marshall. And if you've got some additional clarification on this, let me know.

But what we've got is we've got the three cases that are consolidated. We've got a Motion to Transfer which seems to say I will conditionally concede propriety of venue if you transfer on a convenience basis, but that's only as to some defendants. We have other defendants who haven't moved. We have defendants who haven't taken a position. We have an allegation of convenience analysis that should take place, and we don't have convenience facts as to some defendants. honestly, I'm not sure how is best to proceed with this venue issue that's been raised. And perhaps some of it is derived from the fact that your amendment may have unintentionally placed this added defendant in the wrong case because of the consolidation. I don't know. wanted to have a discussion of exactly what you're asking for and why you're asking for it so I have a better idea how to understand and approach the motions that are pending. Can you address these comments and add anything?

MR. PINKER: May I approach?

THE COURT: Please.

MR. PINKER: I will obviously try, Your Honor, and I apologize for the confusion. The complaint was

obviously amended by the plaintiff.

THE COURT: Right.

MR. PINKER: And that complaint as I understood it added I believe one defendant to the 359 case and removed the Reconext defendant from the 359 case. I filed a Motion to Transfer, and in the alternative Motion to Dismiss solely in connection with the 359 case. We filed it with the consolidated heading required by the Court's order to file everything in a consolidated fashion. And if that resulted in confusion, I very much apologize for that.

THE COURT: Well, you're exactly right. It's the plaintiffs that amended the complaint, I didn't mean to indicate otherwise. But somehow, some way, the clerk's office at least from my reading of the docket is now showing the defendant Assurant in the 357 case and not in the 359 case.

MR. PINKER: The defendant Assurant is in the 357 case, Your Honor. I represent in the 359 case the following six defendants who are all of the defendants in the 359 case. Defendants Clover Technology Group, Clover Wireless, Valu Tech Outsourcing, Teleplan Holdings, Teleplan Services, and Teleplan Services of Texas. Those six defendants are to my understanding, the six defendants named in the First Amended Complaint of the

359 case.

THE COURT: Well, and I've discussed Assurant and perhaps I named the wrong defendant. Valu Tech is being -- Valu Tech, Teleplan is listed as a defendant in the 357 case, not in the 359 case. And the First Amended Complaint for Patent Infringement Document Number 24 filed in the 357 case shows as the heading of -- or the style of that amendment Viking Technologies vs. Clover Technologies, Clover Wireless, Outsourcing, Teleplan Holding, Teleplan Service Logistics, and Teleplan Services Texas, Inc. Valu Tech is not shown in the style of the First Amended Complaint regarding the 359 case, and this First Amended Complaint is filed in the lead case, the 357 case.

Maybe plaintiff can add some clarification to this. And while you're going to the podium, why don't you explain to me at a little bit of a higher level why in light of Section 299 of the AIA, we've got multiple defendants in a patent infringement case when each one has got a right to a separate jury trial? Did you expect me to sever all of these sua sponte, or why are they all lumped into one case?

MR. DEVINCENZO: They are all related. The 357, 358 and 359 were all filed in related because each of those cases involves related entities, and we understood

that there were acts of infringement that would have cut across multiple corporate entities within the families.

THE COURT: Well, when you amended in Document 24, what was your intention with regard to Valu Tech? Was it to go into the 357 case which is the case number listed on your amended complaint, or was it to go into the 359 case? And if it was to go into the case with Clover, et cetera, which is the 359 case, and why was it named in the style of the amendment so that that would be clear? That's where the confusion is coming from.

MR. DEVINCENZO: I understand, Your Honor, and without full clarification I would assume that that's at least at the very least a clerical error on our part and we likely filed the amended complaint for the -- what I'll call the Clover entities which includes Valu Tech and who were originally named in the 359 case, the amended complaint was likely filed in the 357 case, thereby creating this confusion.

So what I would believe, that we would be able to clean this up and make sure we style each of the cases correctly as separated.

THE COURT: Okay. So from what I'm hearing, Valu Tech should be in the 359 case and not in the 357 case.

And let me ask you this, Mr. Pinker. In light of that, is your motion regarding venue only limited to the

1 359 case or do you purport that it should apply to either 2 the 357 or 358 case? 3 MR. PINKER: It is only limited to the 359 case, 4 Your Honor. 5 THE COURT: Okay. 6 MR. DEVINCENZO: Was there a question pending for 7 me, Your Honor? THE COURT: Well, clearly there was some confusion 8 9 as to the state of the docket and what the briefing on the motion was apparently trying to ask for and I 10 couldn't reconcile in my mind how the briefing was asking 11 for that relief if the alignment of the parties on the 12 docket was correct. And so that's really what prompted 13 14 this status conference being noticed today. 15 MR. DEVINCENZO: Understood. And so we will make 16 sure we clean up any of the clerical snafus then with 17 respect to the alignment of the parties within the -- at 18 least within 359 versus the 357, and we'll doublecheck if there's any other issues there as well. 19 THE COURT: Well, it sounds like from this 20 exchange that both plaintiff and defendants are all on 21 the same page, that this may be misaligned through an 22 unintentional clerical error more than anything else. 23 MR. DEVINCENZO: Yes, Your Honor. And I apologize 24 25 to the Court for that.

THE COURT: Okay. So plaintiff intends Valu Tech to be in the 359 case represented by Mr. Pinker's firm, and not the 357 case. And that's Mr. Pinker's understanding as well, that his firm represents all of the defendants in the 359 case but none of the other parties, correct?

MR. DEVINCENZO: That is correct, Your Honor.

MR. PINKER: That is correct from our perspective as well, Your Honor.

THE COURT: Okay. Then I think in light of that clarification I can go back to what's pending, but I would suggest that action be taken to make sure the docket reflects what the parties have just indicated they believe the alignment of the parties should be.

MR. DEVINCENZO: We will make sure it's amended, Your Honor.

THE COURT: Okay. Thank you. That helps. That's really all the clarification I needed. I think I can now go back to what's pending, in light of that clarification and go forward with my analysis.

All right. Is there anything else related to either the status conference issue or related to the claim construction disputes that I've heard argument on that either party believes hasn't been somehow addressed or raised and needs to be raised with the Court at this

time? MR. EVERINGHAM: Nothing from the plaintiff, Your Honor. Nothing from us, Your Honor, as well. MR. DEANE: THE COURT: Okay. Well, as I say, these claim construction disputes are under advisement. I'll do my best to get you some written guidance by way of claim construction opinion as soon as practical. And I thank you for your attendance and argument. The Court stands in recess, the parties are excused. (End of proceedings) 

I certify that the foregoing is a correct transcript from the record of proceedings in the above-entitled matter. /s/ Lori Barnett COURT REPORTER